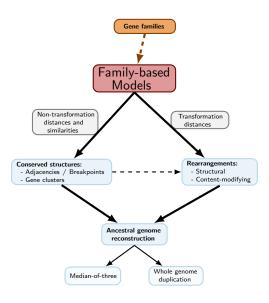
## Topics of today:

- 1. Family-based  $\times$  Family-free setting
- 2. Family-free DCJ distance
- 3. Family-free DCJ-indel distance

## Family-based setting



## Are family assignments accurate?



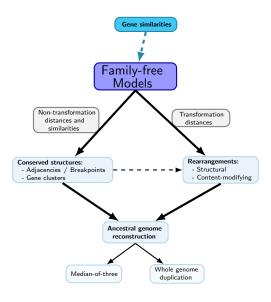
### Are family assignments accurate?



- Family assignments are most of the time made automatically
- ▶ Even in the absence of errors, there may be ambiguities:

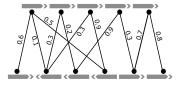


# Alternative: family-free setting



## Family-free DCJ distance

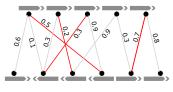
No family assignments , but pairwise normalized similarities  $({\rm above\ some\ threshold}\ x\in[0,1])$ 



x = 0.1

# Family-free DCJ distance

No family assignments , but pairwise normalized similarities  $(\text{above some threshold } x \in [0,1])$ 



matching M

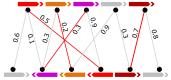
$$x = 0.1$$

$$|\frac{M}{M}| = 4$$

$$w(\frac{M}{M}) = 1.7$$

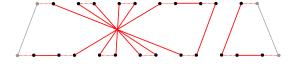
### Family-free DCJ distance

No family assignments , but pairwise normalized similarities  $({\rm above\ some\ threshold}\ x\in[0,1])$ 



**matching**  $M \to \text{singular mapped genomes } \mathbb{A}^M \text{ and } \mathbb{B}^M$ 

capped relational diagram :  $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$ 



x = 0.1

 $|{\it M}| = 4$ 

w(M) = 1.7

capping of canonical genomes (ignores indels/recombinations)

### Taking the weights into consideration

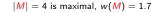
#### Weighted DCJ distance of mapped genomes

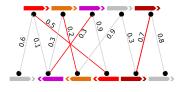
$$\operatorname{\mathsf{wd}}_{\operatorname{DCJ}}(\mathbb{A}^M,\mathbb{B}^M) = \operatorname{\mathsf{d}}_{\operatorname{DCJ}}(\mathbb{A}^M,\mathbb{B}^M) + \boxed{|M| - w(M)}$$

$$= p_* + |M| - |\mathcal{C}| + |M| - w(M)$$

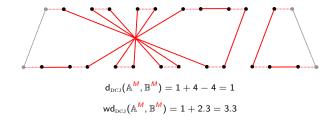
$$|M| - w(M)$$
: penalizes edges of M with similarity  $< 1$ 

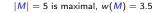
genes that are not covered by M are simply ignored

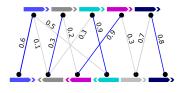




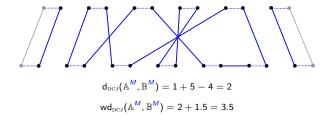
Μ	M	$d_{\mathrm{DCJ}}$	M -w(M)	
М	4	1	2.3	3.3
		'	'	ļi.



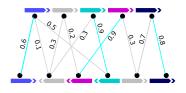




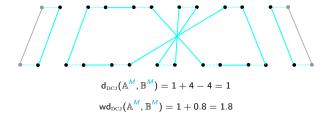
Μ	M	$d_{\mathrm{DCJ}}$	M -w(M)	$wd_{\mathrm{DCJ}}$
М	4	1	2.3	3.3
M	5	2	1.5	3.5
IVI	5	4	1.5	3.5

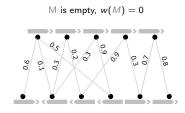




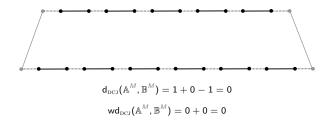


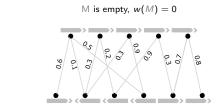
	<i>M</i>	$d_{\scriptscriptstyle \mathrm{DCJ}}$	M  - w(M)	$wd_{\mathrm{DCJ}}$
M	4	1	2.3	3.3
M	5	2	1.5	3.5
M	4	1	0.8	1.8

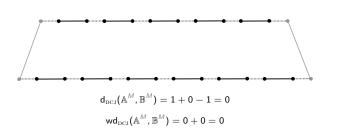




M 4 1 M 5 2 M 4 1	2.3 1.5	3.3
M 5 2	1.5	
$M \mid A \mid 1 \mid$	1.5	3.5
/// T   T	0.8	1.8
M 0 0	0	0







М	M	$d_{\mathrm{DCJ}}$	M -w(M)	$wd_{\mathrm{DCJ}}$
M	4	1	2.3	3.3
M	5	2	1.5	3.5
M	4	1	0.8	1.8
M	0	0	0	0
:	:	:	:	:

#### Family-free DCJ distance

 $\mathsf{min}_{M \in \mathfrak{M}_{\mathrm{MAX}}} \left\{ \mathsf{wd}_{\mathrm{DCJ}}(\mathbb{A}^M, \mathbb{B}^M) \right\}$ 

 $\mathfrak{M}_{\scriptscriptstyle{MAX}}$  : set of all maximal matchings

NP-hard

We have a capped multi-relational graph, but here each gene can be potentially ignored:

 $\Rightarrow$  each gene has an indel edge and ignoring a gene is done by selecting its indel edge

Besides variables and contraints from Shao-Lin-Moret, an extra constraint assures a maximal matching:

at least one of the vertices connected by an edge in the similarity graph must be chosen at most one of the corresponding indel edges in the capped MRG can be selected:

$$\begin{cases} \forall \ a \in E_m^A, \ b \in E_b^B, \\ \text{siblings } d, e \in E_{\xi} \text{ and } \\ a \cap (d \cup e) \neq \emptyset \text{ and } b \cap (d \cup e) \neq \emptyset \end{cases}$$

#### Weighted DCJ distance formula

$$\mathsf{wd}_{\text{DCJ}}(\mathbb{A}^M, \mathbb{B}^M) = p_* + |M| - |\mathcal{C}| + |M| - w(M) = p_* + 2|M| - |\mathcal{C}| - w(M)$$

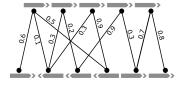
#### Objective function:

$$\min p_* + \sum_{e \in E_{\mathcal{E}}} x_e - \sum_{1 \le i \le |V|} c_i - \frac{1}{2} \sum_{e \in E_{\mathcal{E}}} w_e x_e$$

(one edge of the matching corresponds to a pair of edges in  $E_{\xi}$ )

## Family-free DCJ-indel distance

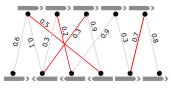
No family assignments , but pairwise normalized similarities  $(\text{above some threshold } x \in [0,1])$ 



x = 0.1

## Family-free DCJ-indel distance

No family assignments , but pairwise normalized similarities  $({\rm above\ some\ threshold\ } x \in [0,1])$ 



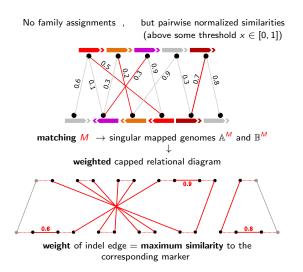
matching M

$$x = 0.1$$

$$|\frac{M}{M}| = 4$$

$$w(\frac{M}{M}) = 1.7$$

### Family-free DCJ-indel distance



$$x = 0.1$$

$$|M| = 4$$

$$w(M) = 1.7$$

capping of singular genomes (with indels/recombinations)

$$\widetilde{M}$$
: set of indel edges (complement of  $M$ )
$$w(\widetilde{M}) = 2.3$$

### Taking the weights into consideration

#### Weighted DCJ-indel distance of mapped genomes

$$\begin{aligned} \mathsf{wd}^{\mathrm{ID}}_{\mathrm{DCJ}}(\mathbb{A}^{M}, \mathbb{B}^{M}) &= \mathsf{d}^{\mathrm{ID}}_{\mathrm{DCJ}}(\mathbb{A}^{M}, \mathbb{B}^{M}) + \boxed{|M| - w(M)} + \boxed{w(\widetilde{M})} \\ &= p_{*} + |M| - |\mathcal{C}| + \sum_{c \in \mathcal{C} \cup \mathbb{S}} \lambda(c) + |M| - w(M) + w(\widetilde{M}) \\ &= p_{*} + |M| - |\mathcal{C}| + |\mathcal{C}'| + |\mathbb{S}| + \frac{\aleph}{2} + |M| - w(M) + w(\widetilde{M}) \\ &= p_{*} + |M| - |\mathcal{C}^{\tilde{r}}| + |\mathbb{S}| + \frac{\aleph}{2} + |M| - w(M) + w(\widetilde{M}) \end{aligned}$$

$$|\mathit{M}| - \mathit{w}(\mathit{M})$$
 : penalizes edges of  $\mathit{M}$  with similarity  $< 1$ 

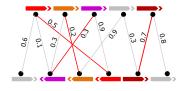
$$w(\widetilde{M})$$
 : penalizes markers of  $\widetilde{M}$  with some similarity  $>0$ 

$$\mathcal{C}^r$$
 : set of indel-enclosing cycles

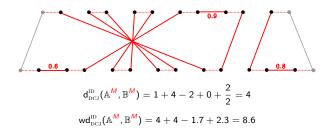
$$\mathcal{C}^{\tilde{r}}$$
 : set of indel-free cycles

$${\mathbb S}$$
 : set of circular singletons

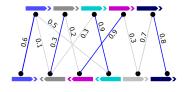
$$|\mathbf{M}| = 4$$
 is maximal,  $w(\mathbf{M}) = 1.7$ ,  $w(\widetilde{\mathbf{M}}) = 2.3$ 



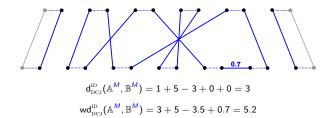
M  - w(M)	w(W)	wd <sub>DC</sub>
2.3	2.3	8.6
	2.3	2.3 2.3



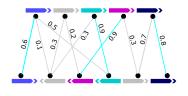
$$|M| = 5$$
 is maximal,  $w(M) = 3.5$ ,  $w(\widetilde{M}) = 0.7$ 



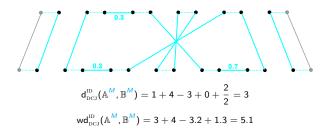
$rac{M}{4} ig  rac{d_{ m DCJ}^{ m ID}}{4} ig  rac{M}{4} ig  - w(M) ig  w(\widetilde{M}) ig  wd_{ m DCJ}^{ m ID}$ $rac{4}{5} ig  4 ig  2.3 ig  2.3 ig  8.6$ $5 ig  3 ig  1.5 ig  0.7 ig  5.2$
5   3   1.5   0.7   5.2
5   3   1.5   0.7   5.2

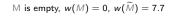


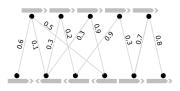
$$|M| = 4$$
 is non-maximal,  $w(M) = 3.2$ ,  $w(\widetilde{M}) = 1.3$ 



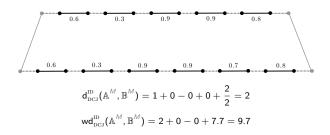
М	4	d <sub>DCJ</sub> 4	M -w(M)	$w(\widetilde{M})$	wd <sub>DC</sub>
М	5	3	1.5	0.7	5.2
М	4	3	0.8	1.3	5.1

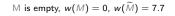


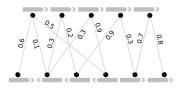




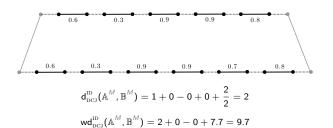
Μ	M	$d_{\mathrm{DCJ}}^{\mathrm{ID}}$	M  - w(M)	$w(\widetilde{M})$	$wd^{\mathrm{ID}}_{\mathrm{DCJ}}$
М	4	4	2.3	2.3	8.6
М	5	3	1.5	0.7	5.2
M	4	3	0.8	1.3	5.1
M	0	2	0	7.7	9.7







М	M	$d_{\mathrm{DCJ}}^{\mathrm{ID}}$	M -w(M)	$w(\widetilde{M})$	$wd^{\mathrm{ID}}_{\mathrm{DCJ}}$
М	4	4	2.3	2.3	8.6
M	5	3	1.5	0.7	5.2
M	4	3	0.8	1.3	5.1
M	0	2	0	7.7	9.7
Ŀ	:		:	:	

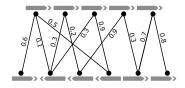


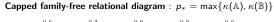
#### Family-free DCJ-indel distance

 $\mathsf{min}_{M \in \mathfrak{M}} \left\{ \mathsf{wd}^{\scriptscriptstyle{\mathrm{ID}}}_{\scriptscriptstyle{\mathrm{DCJ}}}(\mathbb{A}^{M},\mathbb{B}^{M}) \right\}$ 

 $\mathfrak M$  : set of all matchings

NP-hard

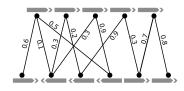




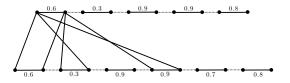




each marker has its  $weighted\ indel\ edge\ (weight=max.\ similarity)$ 

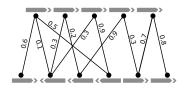


Capped family-free relational diagram :  $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$ 

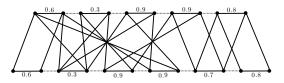


sibling weights are omitted

each marker has its  $weighted\ indel\ edge\ (weight=max.\ similarity)$ 

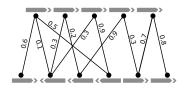


Capped family-free relational diagram :  $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$ 

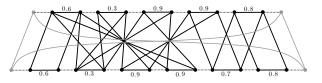


sibling weights are omitted

each marker has its  $weighted\ indel\ edge\ (weight=max.\ similarity)$ 



#### Capped family-free relational diagram : $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$

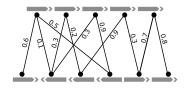


sibling weights are omitted

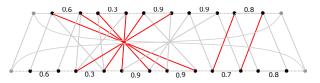
each marker has its weighted indel edge (weight = max. similarity)

add 2p\* vertices (cap extremities)

 $\mbox{link}$  each cap extremity in genome  $\mathbb A$  to each cap extremity in genome  $\mathbb B$ 



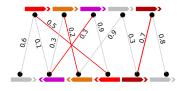
Capped family-free relational diagram :  $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$ 



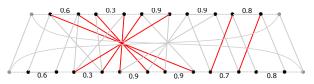
sibling weights are omitted

matching of extremity edges:

sibling set 5 (pairs of siblings)



#### Capped family-free relational diagram : $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$



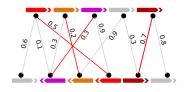
sibling weights are omitted

$$|S| = 2|M|$$

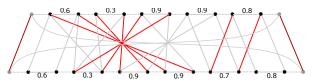
$$w(S) = 2w(M)$$

matching of extremity edges:

sibling set 
$$S \to M$$
 (pairs of siblings)



#### Capped family-free relational diagram : $p_* = \max\{\kappa(\mathbb{A}), \kappa(\mathbb{B})\}$



sibling weights are omitted

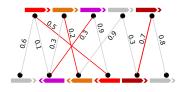
|S| = 2|M|

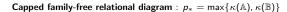
w(5) = 2w(M)

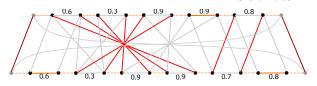
matching of extremity edges:

sibling set  $S \rightarrow M$  (pairs of siblings)

maximal capping set *P* (covers all cap extremities)







sibling weights are omitted

$$|S| = 2|M|$$

$$w(5)=2w(M)$$

#### matching of extremity edges:

sibling set 
$$S \to M$$
 (pairs of siblings)

maximal capping set P (covers all cap extremities)

### Optimization formula

#### DCJ-indel distance of a capped consistent decomposition

$$\mathsf{d}_{\scriptscriptstyle \mathrm{DCJ}}^{\scriptscriptstyle \mathrm{ID}}(Q[S,P]) = p_* + \boxed{\frac{|S|}{2}} - |\mathcal{C}^{\tilde{r}}| + |S| + \frac{\aleph}{2}$$

$$|S|=2|M|$$

## Optimization formula

#### DCJ-indel distance of a capped consistent decomposition

$$\mathsf{d}_{ ext{DCJ}}^{ ext{ID}}(Q[S,P]) = 
ho_* + \left\lfloor rac{|S|}{2} 
ight
floor - |\mathcal{C}^{ ilde{r}}| + |S| + rac{\aleph}{2}$$

|S| = 2|M|

#### Weighted DCJ-indel distance of a capped consistent decomposition

$$wd_{DCJ}^{ID}(Q[S, P]) = d_{DCJ}^{ID}(Q[S, P]) + \left\lfloor \frac{|S|}{2} - \frac{w(S)}{2} \right\rfloor + w(\widetilde{S})$$

$$= p_* + \frac{|S|}{2} - |\mathcal{C}^{\tilde{r}}| + |\mathcal{S}| + \frac{\aleph}{2} + \frac{|S|}{2} - \frac{w(S)}{2} + w(\widetilde{S})$$

$$= p_* + |S| - |\mathcal{C}^{\tilde{r}}| + |\mathcal{S}| + \frac{\aleph}{2} - \frac{w(S)}{2} + w(\widetilde{S})$$

w(S)=2w(M)

## Optimization formula

#### DCJ-indel distance of a capped consistent decomposition

$$\mathsf{d}_{ ext{DCJ}}^{ ext{ID}}(Q[S,P]) = 
ho_* + \left\lfloor rac{|S|}{2} \right\rfloor - |\mathcal{C}^{ ilde{r}}| + |S| + rac{\aleph}{2}$$

$$|S|=2|M|$$

#### Weighted DCJ-indel distance of a capped consistent decomposition

$$wd_{DCJ}^{ID}(Q[S, P]) = d_{DCJ}^{ID}(Q[S, P]) + \boxed{\frac{|S|}{2} - \frac{w(S)}{2}} + w(\widetilde{S})$$

$$= p_* + \frac{|S|}{2} - |C^{\widetilde{r}}| + |S| + \frac{\aleph}{2} + \frac{|S|}{2} - \frac{w(S)}{2} + w(\widetilde{S})$$

$$= p_* + |S| - |C^{\widetilde{r}}| + |S| + \frac{\aleph}{2} - \frac{w(S)}{2} + w(\widetilde{S})$$

$$w(S)=2w(M)$$

#### Family-free DCJ-indel distance

 $\min_{S \in \mathfrak{S}, P \in \mathfrak{P}_{\text{MAX}}} \left\{ \operatorname{wd}_{\text{DCJ}}^{\text{ID}}(Q[S, P]) \right\}$ 

 $\mathfrak S$  : set of sibling sets

 $\mathfrak{P}_{\scriptscriptstyle{\mathrm{MAX}}}$  : set of maximal capping sets

#### Previous formulations:

DCJ distance of balanced genomes (Shao et al., 2014)

DCJ-indel distance of natural genomes (Bohnenkämper et al., 2020)

#### Selecting a consistent decomposition:

(Shao et al., 2014)

$$x_a = 1 \quad \forall \ a \in E_\alpha^\mathbb{A} \cup E_\alpha^\mathbb{B}$$

$$\sum_{uv \in E} x_{uv} = 2 \quad \forall \ u \in V$$

 $x_e = x_d \ \forall \ e, d \in E_{\xi}, \ e, d \ \text{are siblings}$ 

#### Previous formulations:

DCJ distance of balanced genomes (Shao et al., 2014)

DCJ-indel distance of natural genomes (Bohnenkämper et al., 2020)

# 

#### Previous formulations:

DCJ distance of balanced genomes (Shao et al., 2014)

DCJ-indel distance of natural genomes (Bohnenkämper et al., 2020)

#### Counting singletons:

(Bohnenkämper et al., 2020)

$$\sum_{e \in E_{\text{ID}}^k} x_e - |k| \leq s_k \ \forall \ k \in K$$

#### Previous formulations:

DCJ distance of balanced genomes (Shao et al., 2014)

DCJ-indel distance of natural genomes (Bohnenkämper et al., 2020)

#### Weighted DCJ-indel distance formula

$$\operatorname{wd}_{\mathrm{DCJ}}^{\mathrm{ID}}(Q[S,P]) = p_* + |S| - |\mathcal{C}^{\tilde{r}}| + |\mathfrak{S}| + \frac{\aleph}{2} - \frac{w(S)}{2} + w(\widetilde{S})$$

#### Objective function:

$$\min \ p_* + \sum_{e \in E_{\xi}} x_e - \sum_{1 \le i \le |V|} c_i + \sum_{k \in K} s_k + \frac{1}{2} \sum_{a \in E} t_a - \frac{1}{2} \sum_{e \in E_{\xi}} w_e x_e + \sum_{c \in E_{\text{ID}}} w_c x_c$$

Available at

https://gitlab.ub.uni-bielefeld.de/gi/gen-diff

## Running times (or gap in %) for CPLEX with max. CPU time of 3h

Pairwise comparisons of Drosophila genomes

 $\sim$  13,000 genes per genome, distributed in 5-6 chromosomes

gene similarities obtained using FFGC (Doerr et al., 2018): considering all similarities that are strictly greater than x=0, the pairwise similarity graphs have an average of 11.2 and at most 95 connections per gene.

DIFF on similarity graphs of x = 0.3, with an average of 1.92 and at most 31 connections per gene:

species	pseudoobscura	sechellia	simulans	yakuba	busckii	
melanogaster	0.76%	4,431.78s	109.60s	201.49s	540.19s	
pseudoobscura		163.12s	764.24s	5,782.73s	290.12s	(3h=10,800s
sechellia			103.33s	146.88s	415.23s	(311=10,0005)
simulans				216.77s	115.54s	
yakuba					153.36s	

DING on OMA families with an average of 1.04 and at most 23 occurrences:

All comparisons finished very fast, ranging from 2 to 32 seconds.

### Comparing DIFF and DING on CPLEX with max. CPU time of 3h

#### Balancing the number of multiple connections in both models:

Extending the connected components of our similarity graphs to cliques

DING on families derived from our similarity graphs extended cliques: All but one comparisons reached the time limit of 3h.

DIFF on similarity graphs with extended cliques (new edges received weight=0.3):

Only one comparison reached the time limit of 3h, the others took 380 seconds on average.

 $\textbf{Observation:} \ \operatorname{Ding} \left\{ \begin{aligned} &\text{has a smaller search space only composed of maximal sibling-sets} \\ &\text{running times were considerably longer} \end{aligned} \right.$ 

#### Probable explanation:

There is a larger number of co-optimal solutions in the DCJ-indel distance of natural genomes. In the family-free DCJ-indel model the co-optimality is reduced by weights, allowing DIFF to converge faster.

⇒ Indeed, in a simulation in which the weights of all edges of the similarity graphs were set to 1, the running times of DIFF were much slower than those of DING for instances with the same number of multiple connections.

## Gene homologies established by DIFF compared to Flybase

```
Flybase (flybase.org) established gene families (homolog gene sets) tor the three species
```

```
D. melanogaster
D. simulans
D. yakuba
```

Classification of pairs of homologous genes inferred for these three species with DIFF (for x = 0.3):

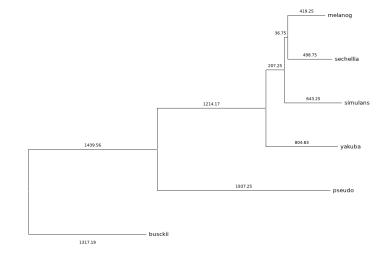
```
Match: (97.3%) both genes are in the same Flybase family;
```

New: (1.4%) both genes are not part of any Flybase family;

Extension: (1.1%) one of the two genes is not part of any Flybase family;

Mismatch: (0.2%) each gene is in a different Flybase family.

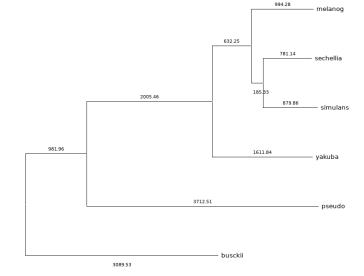
# Inferred phylogenies



 $$\operatorname{Ding}$$  with OMA families

500

# Inferred phylogenies

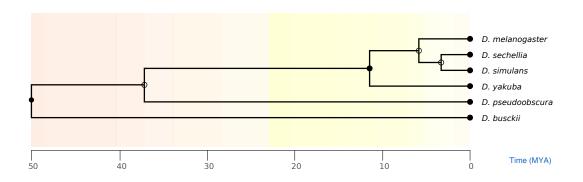


DIFF with x = 0.3

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# Reference phylogeny

TimeTree



#### References

On the family-free DCJ distance and similarity

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Algorithms for Molecular Biology (2015)

Natural family-free genomic distance

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