Topics of today:

- 1. Breakpoint graph of two genomes
- 2. SCJ circular median
- 3. Breakpoint median and halving
- 4. NP-hardness of unichromosomal breakpoint median
- 5. Large-scale rearrangements and the DCJ operation

Breakpoint graph of canonical genomes

Genomes $\mathbb{A}^f_{\triangleright}$ and $\mathbb{B}^f_{\triangleright}$ are canonical, with $\mathcal{F}_{\star} = \mathcal{G}_{\star} = \{1, 2, ..., n\}$

 $\textbf{Breakpoint graph of } \mathbb{A}^f_{\rhd} \text{ and } \mathbb{B}^f_{\rhd} \ \, \to \ \, G = BG(\mathbb{A}^f_{\rhd}, \mathbb{B}^f_{\rhd}) \text{:}$

1. Set of vertices
$$V(G) = \bigcup_{X \in \mathcal{G}_{\star}} \{X^h, X^t\} \quad \Rightarrow |V(G)| = 2n$$

2. Set of edges $E(G) = \Gamma(\mathbb{A}^f_{\triangleright}) \cup \Gamma(\mathbb{B}^f_{\triangleright}) \implies |E(G)| = |\Gamma(\mathbb{A}^f_{\triangleright})| + |\Gamma(\mathbb{B}^f_{\triangleright})| \le 2n$



Complete graph of a set of genes



A perfect matching M in \mathfrak{G} corresponds to |M| = n adjacencies and, consequently, defines a circular singular genome \mathbb{C} , with $\Gamma(\mathbb{C}) = M$.

SCJ median of k canonical genomes

Given k canonical genomes \mathbb{C}_1^f , \mathbb{C}_2^f , ... \mathbb{C}_k^f , find another canonical genome \mathbb{M}^f that minimizes the sum:

$$\begin{aligned} \mathsf{s}_{\mathrm{SCJ}}(\mathbb{M}^f) &= \mathsf{d}_{\mathrm{SCJ}}(\mathbb{M}^f, \mathbb{C}_1^f) + \mathsf{d}_{\mathrm{SCJ}}(\mathbb{M}^f, \mathbb{C}_2^f) + \ldots + \mathsf{d}_{\mathrm{SCJ}}(\mathbb{M}^f, \mathbb{C}_k^f) \\ &= |\Gamma(\mathbb{C}_1^f)| + |\Gamma(\mathbb{C}_2^f)| + \ldots + |\Gamma(\mathbb{C}_k^f)| + \omega(\mathbb{M}^f) \end{aligned}$$

For computing the median, we need to minimize:

$$\omega(\mathbb{M}^f) = \sum_{xy \in \Gamma(\mathbb{M}^f)} \omega(xy)$$

where $\omega(xy) = k - 2 \cdot \phi(xy, \mathbb{C}^f_{1..k}) \in \{-k, -k+2, \dots, +k-2, +k\}.$

Solution: take only the adjacencies with negative weight

SCJ median of k canonical circular genomes

Canonical genomes \mathbb{C}_1^f , \mathbb{C}_2^f , ..., \mathbb{C}_k^f are circular:

$$s_{SCJ}(\mathbb{M}^{f}) = |\Gamma(\mathbb{C}_{1}^{f})| + |\Gamma(\mathbb{C}_{2}^{f})| + \dots + |\Gamma(\mathbb{C}_{k}^{f})| + \omega(\mathbb{M}^{f})$$
$$= \Im + \omega(\mathbb{M}^{f})$$

Again, we need to minimize $\omega(\mathbb{M}^f) = \sum_{xy \in \Gamma(\mathbb{M}^f_{\triangleright})} \omega(xy)$, where $\omega(xy) = k - 2 \cdot \phi(xy, \mathbb{C}^f_{1..k})$, but since the median is required to be circular, it may not be possible to take only the adjacencies that have a negative weight

Solution:

- 1. Build the complete graph \mathfrak{G} of \mathcal{G}_{\star}
- 2. Assign weights to each edge xy of \mathfrak{G} : $\omega(xy) = k 2 \cdot \phi(xy, \mathbb{C}^{f}_{1..k})$.

$$\omega(xy) \in \{-3, -1, +1, +3\} \quad Ex: C_{1} = (1 2 3,) \\ (3) (2) (1) (0) \quad C_{2} = (3 2, \overline{1}) \\ c_{3} = (3, 1, \overline{2}) \end{cases}$$



Perfect matching M in $\mathfrak{G} \Leftrightarrow$ Circular genome \mathbb{M}^f ; with $\omega(M) = \omega(\mathbb{M}^f)$

A perfect matching M_{MIN} with **minimum weight** gives a circular SCJ median \mathbb{M}_{min}^{f} with **minimum weight**

Breakpoint median of three canonical circular genomes

Given canonical circular genomes \mathbb{C}_1^f , \mathbb{C}_2^f and \mathbb{C}_3^f , find a canonical circular genome $\mathbb{M}_{\triangleright}^f$ that minimizes the sum:

$$\begin{aligned} \mathsf{s}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}) &= \mathsf{d}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}, \mathbb{C}^{f}_{1}) + \mathsf{d}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}, \mathbb{C}^{f}_{2}) + \mathsf{d}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}, \mathbb{C}^{f}_{k}) \\ &= n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{1}) + n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{2}) + n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{3}) \\ &= 3n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{1..3}) \\ &= 3n - \omega'(\mathbb{M}^{f}_{\triangleright}) \end{aligned}$$

Here we need to maximize $\omega'(\mathbb{M}^f_{\triangleright}) = \sum_{xy \in \Gamma(\mathbb{M}^f_{\triangleright})} \omega'(xy)$, where $\omega'(xy) = \phi(xy, \mathbb{C}^f_{1..3})$.

1. Build the complete graph \mathfrak{G} of \mathcal{G}_{\star}

2. Assign weights to each edge xy of \mathfrak{G} : $\omega'(xy) = \phi(xy, \mathbb{C}^f_{1,k})$.

$$\omega(xy) \in \{0, 1, 2, 3\} \quad Ex: C_{1} = (123) \\ C_{2} = (32, \overline{1}) \\ C_{3} = (3, 1, \overline{2})$$



Perfect matching M in $\mathfrak{G} \Leftrightarrow$ Circular genome \mathbb{M}^f ; with $\omega'(M) = \omega'(\mathbb{M}^f)$

A perfect matching M_{MIN} with maximum weight gives a circular BP median \mathbb{M}_{min}^{f} with maximum weight

Breakpoint median of three canonical genomes

Given canonical genomes \mathbb{C}_1^f , \mathbb{C}_2^f and \mathbb{C}_3^f , find a canonical genome $\mathbb{M}_{\triangleright}^f$ that minimizes the sum:

$$\begin{split} \mathbf{s}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}) &= \mathbf{d}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}, \mathbb{C}^{f}_{1}) + \mathbf{d}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}, \mathbb{C}^{f}_{2}) + \mathbf{d}_{\mathrm{BP}}(\mathbb{M}^{f}_{\triangleright}, \mathbb{C}^{f}_{k}) \\ &= n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{1}) - \sum_{x \in \Theta(\mathbb{M}^{f}_{\triangleright})} \frac{\phi(x, \mathbb{C}^{f}_{1})}{2} + n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{2}) \\ &- \sum_{x \in \Theta(\mathbb{M}^{f}_{\triangleright})} \frac{\phi(x, \mathbb{C}^{f}_{2})}{2} + n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{3}) - \sum_{x \in \Theta(\mathbb{M}^{f}_{\triangleright})} \frac{\phi(x, \mathbb{C}^{f}_{3})}{2} \\ &= 3n - \sum_{xy \in \Gamma(\mathbb{M}^{f}_{\triangleright})} \phi(xy, \mathbb{C}^{f}_{1..3}) - \sum_{x \in \Theta(\mathbb{M}^{f}_{\triangleright})} \frac{\phi(x, \mathbb{C}^{f}_{1..3})}{2} \end{split}$$

Here $\omega'(\mathbb{M}^f_{\triangleright}) = \sum_{xy \in \Gamma(\mathbb{M}^f_{\triangleright})} \omega'(xy) + \sum_{x \in \Theta(\mathbb{M}^f_{\triangleright})} \omega'(x)$, where $\omega'(xy) = \phi(xy, \mathbb{C}^f_{1..3})$ and $\omega'(x) = \frac{\phi(x, \mathbb{C}^f_{1..3})}{2}$.

- 1. Build the complete graph &
- 2. Assign weights to each edge xy of \mathfrak{G} : $\omega'(xy) = \phi(xy, \mathbb{C}^f_{1..k})$.
- 3. Build the complete graph \mathfrak{G}_t with vertices $V(\mathfrak{G}_t) = \bigcup_{\chi \in \mathcal{G}_\star} \{t_{\chi^h}, t_{\chi^t}\}$
- 4. Assign weight 0 to each edge of \mathfrak{G}_t
- 5. Add one edge connecting each vertex x in \mathfrak{G} to the corresponding vertex t_x in \mathfrak{G}_t , with weight $\omega'(xt_x) = \frac{\phi(x, \mathbb{C}_{1,k}^f)}{2}$

 $\text{Perfect matching M in $\mathfrak{G}+\mathfrak{G}_t \Leftrightarrow $Genome \mathbb{M}^f ; with $\omega'(M)=\omega'(\mathbb{M}^f)$ }$

A matching M_{MIN} with maximum weight gives a BP median \mathbb{M}_{min}^{f} with maximum weight

 $L_{1} = \begin{bmatrix} 1 & 2 & 3 & 4 \end{bmatrix}$ $L_{2} = \begin{bmatrix} 3 & 2 & 1 \end{bmatrix} \begin{bmatrix} 4 \end{bmatrix}$ $L_{3} = \begin{bmatrix} \overline{1} & 2 & \overline{3} \end{bmatrix}$

 $M = [\bar{1} \ 2 \ 3 \ 4]$ w(m) = 6



 $L_{1} = \begin{bmatrix} 1 & 2 & 3 & 4 \end{bmatrix}$ $L_{2} = \begin{bmatrix} 3 & 2 & 1 \end{bmatrix} \begin{bmatrix} 4 \end{bmatrix}$ $L_{3} = \begin{bmatrix} \overline{1} & 2 & \overline{3} \end{bmatrix}$

 $M_{2} = \begin{bmatrix} 3 & 4 & \overline{2} & 1 \end{bmatrix}$ $\omega(M_{2}) = b$



The breakpoint helving can be computed in a similar way

- 1 Which of the following statements are true?
 - A The breakpoint median can only be computed for circular genomes.
 - B The circular SCJ median is equivalent to the circular breakpoint median of three canonical circular genomes.
 - C The problem of computing a circular breakpoint halving of a circular duplicated genome is polynomial.

NP-hardness of unichromosomal breakpoint median

A unichromosomal circular genome \mathbb{C} can be represented as a simple directed cycle graph: Ex: $\mathbb{C} = (1\bar{2}3)$



Assume that the genes in three canonical circular genomes \mathbb{C}_1^f , \mathbb{C}_2^f and \mathbb{C}_3^f have the same relative orientation and represent these three genomes in the same directed cycle graph:

Ex: $\mathbb{C}_1^f = (1234)$, $\mathbb{C}_2^f = (2413)$, $\mathbb{C}_3^f = (2314)$



Every vertex has indegree = outdegree = 3

M=(1 2.3 4)

NP-hardness of unichromosomal breakpoint median

The Problem of determining whether a directed graph G has a hamiltonian cycle is NP-complete, even if G has maximum indegree and maximum outdegree equal to 3.

Reduction of this problem to the problem of computing a breakpoint median of three canonical circular genomes A, B and C that have the same relative orientation:

We need to transform G into another directed graph G'', such that G'' is the union of three hamiltonian cycles (each one representing one input genome of the median problem)



NP-hardness of unichromosomal breakpoint median

Build a modified directed graph G'', such that G'' is the union of three hamiltonian cycles (each one representing one genome among **A**, **B** and **C**)





 $G^{\prime\prime}$ has only adjacencies that occur in one or in two genomes

Let \mathbb{M} be a solution to the circular breakpoint median of \mathbf{A} , \mathbf{B} and \mathbf{C} :

M contains all adjacencies common to two input genomes and no "new" adjacency ↓ Initial graph G has an hamiltonian cycle





- 1 Which of the following statements are true?
 - A There is a polynomial time algorithm for solving the unichromosomal breakpoint median.
 - B There cannot be a polynomial time algorithm for solving the unichromosomal breakpoint median.
 - C The unichromosomal breakpoint median is NP-hard because it can be reduced to the hamiltonian cycle problem.
 - D The unichromosomal breakpoint median is NP-hard because the hamiltonian cycle problem can be reduced to it.

Double-cut-and-join (DCJ) model

Double-cut-and-join (DCJ) operation: two cuts + two joins

- Cuts the genome twice and rejoins loose ends in a different way.
- Represents most large-scale genome rearrangements (inversions, translocations, fusions, fissions...)



The double-cut-and-join (DCJ) operation

Cuts the genome in (at most) 2 positions and rejoins the open ends in a distinct way



The double-cut-and-join (DCJ) operation

Cuts the genome in (at most) 2 positions and rejoins the open ends in a distinct way



The double-cut-and-join (DCJ) operation

Cuts the genome in (at most) 2 positions and rejoins the open ends in a distinct way



DCJ model



Cases:

A. Each adjacency is in a distinct linear chromosome:

$$\begin{bmatrix} 1 \bigvee_{\forall} 2 & 3 \end{bmatrix} \begin{bmatrix} 4 \bigvee_{\forall} 2 & 5 & 6 \end{bmatrix}$$

reciprocal
translocation
$$\begin{bmatrix} 1 \bigvee_{\forall} 2 & 3 \end{bmatrix} \begin{bmatrix} 4 \bigvee_{\forall} 2 & 5 & 6 \end{bmatrix}$$

$$\begin{bmatrix} 1 \bigvee_{\forall} 2 & 3 \end{bmatrix} \xrightarrow{\text{reciprocal}}_{\text{translocation}} \begin{bmatrix} 1 \bigvee_{\forall} \overline{4} \end{bmatrix} \begin{bmatrix} \overline{3} & \overline{2} \bigvee_{\forall} 2 & 5 & 6 \end{bmatrix}$$

B. Both adjacencies are in the same chromosome, or one is in a circular chromosome:

$$(\begin{bmatrix} 1 & \downarrow & \downarrow & 2 & 3 & 4 & \downarrow & \downarrow & 5 & 6 \end{bmatrix})$$
inversion
$$\bigwedge_{\substack{\text{integration} \\ \text{integration}}} \exp(\left[1 & \downarrow & \downarrow & \downarrow & 5 & 6 \end{bmatrix}) (3 & 4 & \downarrow & \downarrow & 2 \end{pmatrix}$$

DCJ model



Cases:

A. The adjacency and the telomere are in distinct linear chromosomes:

$$\begin{bmatrix} 1 & 2 & 3 & \downarrow \\ 2 & 5 & 6 \end{bmatrix} \begin{bmatrix} 4 & \psi \\ \psi \\ \psi \end{bmatrix} \text{ translocation } \begin{bmatrix} 1 & 2 & 3 & \downarrow \\ 2 & 5 & 6 \end{bmatrix} \begin{bmatrix} 4 & \psi \\ \psi \\ \psi \\ \psi \end{bmatrix} \text{ translocation } \begin{bmatrix} 1 & 2 & 3 & \downarrow \\ 2 & 3 & \downarrow \\ 1 & &$$

B. The adjacency is in the same linear chromosome, or in a circular chromosome:

$$\begin{bmatrix} 1 \ 2 \ 3 \ 4 \stackrel{\vee}{\checkmark} \stackrel{\vee}{\checkmark} 5 \ 6 \stackrel{\times}{\checkmark} \stackrel{\vee}{\checkmark} \end{bmatrix}$$

inversion
$$\bigwedge \begin{array}{c} \operatorname{excision/} \\ \operatorname{integration} \\ \begin{bmatrix} 1 \ 2 \ 3 \ 4 \stackrel{\vee}{\checkmark} \stackrel{\vee}{\checkmark} \overline{6} \ \overline{5} \stackrel{\vee}{\Downarrow} \stackrel{\vee}{\checkmark} \end{bmatrix} \begin{array}{c} \operatorname{excision/} \\ \operatorname{integration} \\ \operatorname{integration} \begin{bmatrix} 1 \ 2 \ 3 \ 4 \stackrel{\vee}{\checkmark} \stackrel{\vee}{\checkmark} \end{bmatrix} (6 \stackrel{\vee}{\checkmark} \stackrel{\vee}{\checkmark} 5)$$

DCJ model



Cases:

A. The adjacency is in a linear chromosome / the telomeres are in two distinct chromosomes:

 $\begin{bmatrix} 1 \ 2 \ 3 \bigvee_{\blacktriangledown \bigtriangledown} \end{bmatrix} \begin{bmatrix} \sqrt{2} \ 4 \ 5 \end{bmatrix}$ fusion $\downarrow \uparrow$ fission $\begin{bmatrix} 1 \ 2 \ 3 \bigvee_{\blacktriangledown \blacktriangledown} 4 \ 5 \end{bmatrix} \begin{bmatrix} \sqrt{2} \ 4 \ 5 \end{bmatrix}$

B. The adjacency is in a circular chromosome / the telomeres are in the same chromosome:

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\begin{bmatrix} & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ &
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Quiz 3

1 Which transformations can be done with a single DCJ operation?

- $\mathsf{B} \ [123] \ [45] \ \leftrightarrow \ [123\overline{5}\overline{4}]$
- $\mathsf{C} \ [\texttt{123}] \ [\texttt{45}] \ \leftrightarrow \ [\texttt{125}] \ [\texttt{43}]$
- $\mathsf{D} \ [12345] \ \leftrightarrow \ [1\bar{4}3\bar{2}5]$
- $\mathsf{E} \ [12345] \ \leftrightarrow \ [12\bar{5}\bar{4}\bar{3}]$
- $\mathsf{F} \ [1\,2\,3] \ (4\,5) \ \leftrightarrow \ [1\,2\,4\,5\,3]$
- $\textbf{G} \ [1\,2\,3] \ (4\,5) \ \leftrightarrow \ [1\,2\,5\,4\,3]$
- $\mathsf{H} (\texttt{12345}) \leftrightarrow \texttt{[34512]}$

References

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