Topics of today:

Canonical inversion distance and sorting:

- 1. Breakpoint diagram
- 2. Split / Neutral / Joining inversions
- 3. Good / bad components
- 4. Safe inversions and overlap graph
- 5. Hurdles and fortress / component tree

Canonical inversion model - circular chromosomes

(Unichromosomal genomes \equiv chromosomes)

Given two canonical circular chromosomes $\mathbb A$ and $\mathbb B,\dots$

Canonical Inversion Distance Problem: Compute the minimum number of inversions

required to transform \mathbb{A} into \mathbb{B} .

Denote by $d_{INV}(\mathbb{A}, \mathbb{B})$ the inversion distance of \mathbb{A} and \mathbb{B} .

Canonical Inversion Sorting Problem: Find a sequence of $d_{INV}(\mathbb{A}, \mathbb{B})$ inversions

that transform \mathbb{A} into \mathbb{B} .

Breakpoint diagram of canonical circular chromosomes

Let \mathbb{A} and \mathbb{B} be canonical circular chromosomes, with $n = |\mathcal{G}_{\star}|$.

The **breakpoint diagram** $BD(\mathbb{A}, \mathbb{B}) = (V, E)$ is described as follows:

1.
$$V = \bigcup_{X \in \mathcal{G}_+} \{X^h, X^t\} \quad \Rightarrow V = \xi(\mathbb{A}) = \xi(\mathbb{B}) \; ; \quad |V| = 2n$$

there is a vertex for each extremity of each gene in \mathcal{G}_{\star} each vertex v has a label $\ell(v)$, that corresponds to the extremity it represents

The vertices are drawn in one line, next to each other.

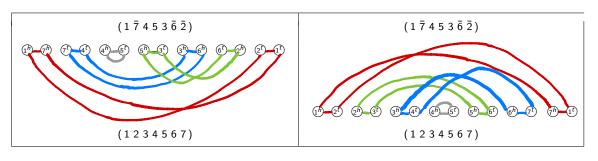
The vertices must follow the same (circular) order of the corresponding extremities in chromosome \mathbb{A} , according to one of the two reading directions.

- 2. $E = E_{\Gamma}(\mathbb{A}) \cup E_{\Gamma}(\mathbb{B})$, where:
 - ▶ Adjacency edges: $\begin{cases} E_{\Gamma}(\mathbb{A}) = \{uv : u, v \in V(\xi(\mathbb{A})) \text{ and } \ell(u)\ell(v) \in \Gamma(\mathbb{A})\} \\ E_{\Gamma}(\mathbb{B}) = \{uv : u, v \in V(\xi(\mathbb{B})) \text{ and } \ell(u)\ell(v) \in \Gamma(\mathbb{B})\} \end{cases}$

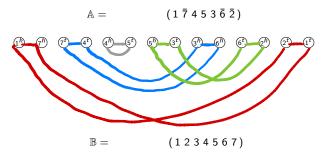
The number of edges is |E| = 2n (*n* adjacency edges per chromosome)

Two equivalent breakpoint diagrams





Properties of the breakpoint diagram



 $BD(\mathbb{A}, \mathbb{B})$ is a collection of (even) cycles (alternating edes in $E_{\Gamma}(\mathbb{A})$ and in $E_{\Gamma}(\mathbb{B})$)

cycle with k edges: k-cycle (always even)

$$\mathcal{C} = \mathsf{set} \ \mathsf{of} \ \mathsf{cycles} \ \mathsf{in} \ \mathcal{BD}(\mathbb{A},\mathbb{B})$$

$$n=|\mathcal{G}_{\star}|=7$$

$$\begin{array}{c} \text{If } \mathbb{A} = \mathbb{B}, \\ \textit{RG}(\mathbb{A}, \mathbb{B}) \text{ has only 2-cycles:} \end{array}$$

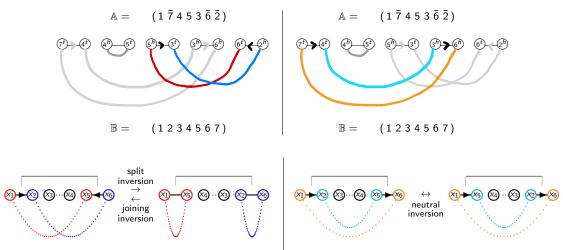
$$2n = 2|\mathcal{C}| \Rightarrow n = |\mathcal{C}|$$

Otherwise, if
$$\mathbb{A} \neq \mathbb{B}$$
:

$$n > |\mathcal{C}|$$

Types of inversion and lower bound for the inversion distance

Assign one (arbitrary) direction to each cycle of $BD(\mathbb{A},\mathbb{B})$



Lower bound for the inversion distance: $d_{\scriptscriptstyle \mathrm{INV}}(\mathbb{A},\mathbb{B}) \geq n - |\mathcal{C}|$

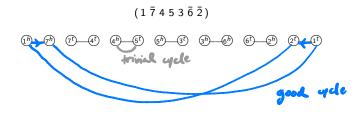
Types of cycles

Trivial cycle: one adjacency in each chromosome

2-cycle (sorted)

Good cycle: at least one pair of adjacencies with opposite directions

Can be split into two cycles by applying an inversion

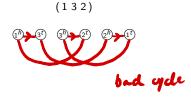


(1234567)

Types of cycles

Bad cycle: all adjacencies have the same direction

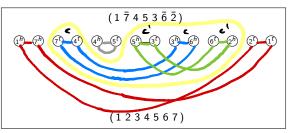
Cannot be split into two cycles



(123)

(Interleaving) components

Breakpoint diagram:



Two interleaving cycles: c...c'...c' (crossing edges)

Interleaving sequence of cycles:

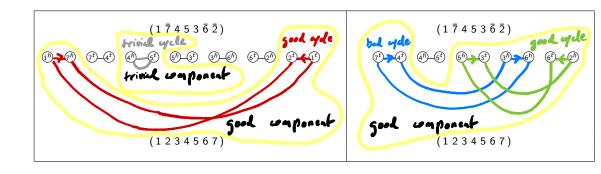
 $c_1, c_2, ..., c_k$ such that c_i and c_{i+1} are interleaving for all $1 \le i \le k-1$

Interleaving component or simply **component** *K*:

Types of (interleaving) components

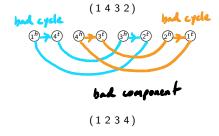
Trivial component: only one trivial 2-cycle

Good component: at least one good cycle



Types of (interleaving) components

Bad component: only bad cycles



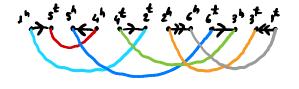
Overlap graph of a component

Target adjacency:

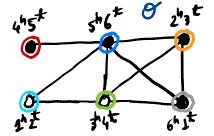
{
good: black vertex
bad: white vertex Target adjacencies can be {
 overlapping: connected in the graph
 non overlapping: disconnected in the graph 8(K) (1745362)(1234567)

Another example

 $(1 \ 5 \ \overline{4} \ 2 \ \overline{6} \ \overline{3})$

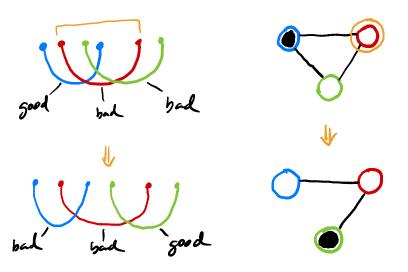


 $Overlap\ graph:$



Effects on the overlap graph by inverting a bad adjacency

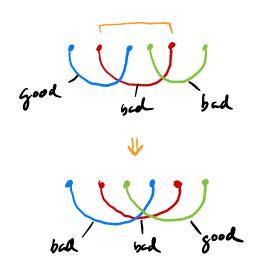
Three overlapping adjaconcies:

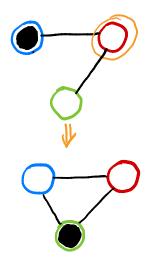


Stip the types of the other complement the edge

Effects on the overlap graph by inverting a bad adjacency

Three overlapping adjaconcies:

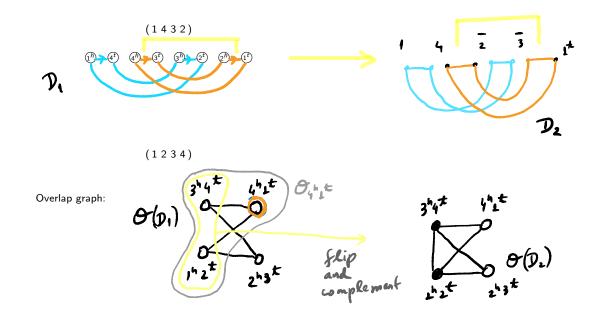




Stip the hipes of the other complement verticos

Effects on the overlap graph by inverting a bad adjacency O(K) (1745362) Component K (1234567) Q(344+)- 3344+)

Sorting a bad component with a neutral inversion



Sorting a bad component with a neutral inversion

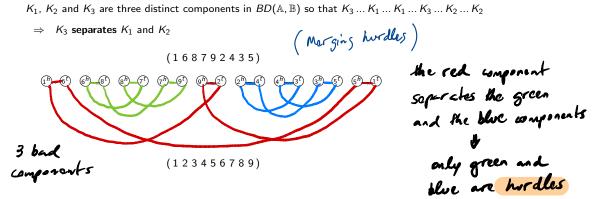
Any neutral invorsion
applied to a bad
adjacency of
a bad component k
turns k into a good component

b: # of bed components

Upper bound: One extre inversion per bed component:

dinu (A,B) < n-|C|+b

Sorting bad components with a joining inversion



By joining with an inversion two cycles c_1 and c_2 , that belong to two distinct components K_1 and K_2 respectively, we merge not only the components K_1 and K_2 , but also all components that separate K_1 and K_2 , into a single **good** component K.

Hurdle: a bad component that does not separate two bad components

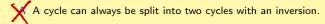
Sorting bad components with a joining inversion

By joining with an inversion two cycles c_1 and c_2 , that belong to two distinct components K_1 and K_2 respectively, we merge not only the components K_1 and K_2 , but also all components that separate K_1 and K_2 , into a single **good** component K.

Another bad component, with hardle non hardle

Quiz 1

1 Which of the following statements about the breakpoint diagram are true?



X A neutral inversion cannot be optimal.

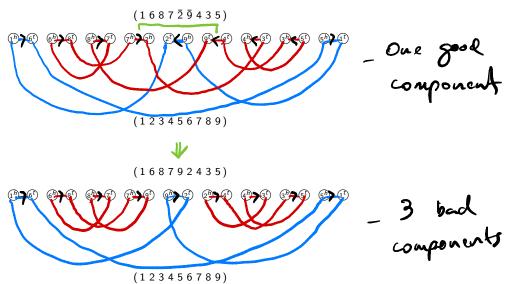
X A joining inversion cannot be optimal.

D It is always possible to split a good cycle into two.

E A bad cycle cannot be split by an inversion.

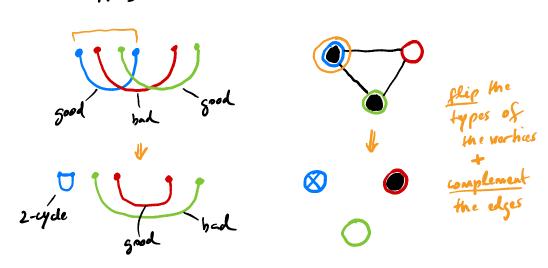
Unsafe inversions

A split inversion applied to a cycle of a good component can create bad components

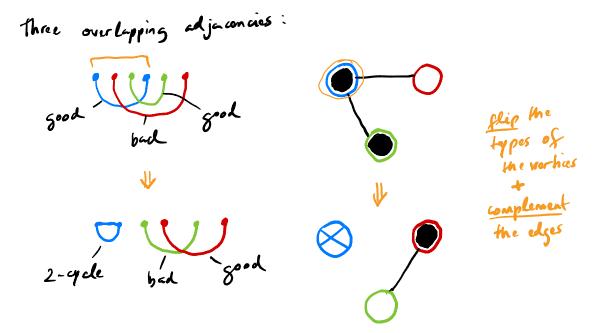


Effects on the overlap graph by inverting a good adjacency

Three overlapping adjaconcies:



Effects on the overlap graph by inverting a good adjacency



Effects on the overlap graph by inverting a good adjacency O(K) (1745362) Component K (1234567) 0(2h3t) 243t = 5h6t

Sorting a good component - finding safe split inversions

G:# of good adjacencies in BD(A,B)

g(xy):# of good adjacencies overlapping ay in BD(A,B)

b(xy):# of bad adjacencies overlapping my in BD(A,B)

score (xy): # good adjacencies in the chiagram after fixing xy

An inversion that fixes a good target adjacency with maximal score is SAFE. (does not create new bad components)

Sorting a good component - finding safe split inversions

let 8 be the overlap graph of component K Suppose Jay is a good adjacency with maximal score in of moversion fixing any creates a bad component KB At least one (bad) edjacency zw E KB was adjacent to my in O => zw was good in O Scores in O $\int score (xy) = G + b(xy) - g(xy) - 1$ $\int score (zw) = G + b(zw) - g(zw) - 1$

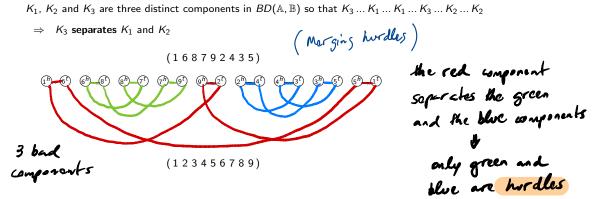
Sorting a good component - finding safe split inversions

get of but larger adjacencies connected to xy in Θ $B(xy) \subseteq B(2w) \implies b(xy) \le b(2w)$ G(zw) C G(zy) => g(zw) & g(zy) we cannot have $\int b(xy) = b(zw)$ and $\int g(xy) = g(xy)$

we cannot have
$$\begin{cases} b(xy) = b(xy) \\ g(xy) = g(xy) \end{cases}$$

swee (zw) > Swee (ny)

Sorting bad components with a joining inversion



By joining with an inversion two cycles c_1 and c_2 , that belong to two distinct components K_1 and K_2 respectively, we merge not only the components K_1 and K_2 , but also all components that separate K_1 and K_2 , into a single **good** component K.

Hurdle: a bad component that does not separate two bad components

Sorting bad components - simple hurdles and super hurdles

h: number of hurdles in $BD(\mathbb{A}, \mathbb{B})$

hordle: bad component that does not separate 2 had components

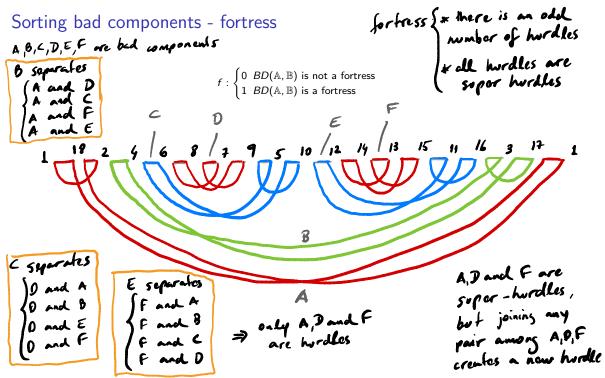
Super hurdle K: fixing K by a newfral inversion creater a new hurdle

On the previous page, both green and blue are super hurdles fixing only the green or only the blue component with a new tral invorsion would turn the red component into a hurdle

Sorting bad components - simple hurdles and super hurdles

simple hurdle: a hurdle that is not a super hurdle * each simple hurdle can be fixed with a neutral inversion

(culting a hurdle) DINY = +1 * each pair of super hurdles can be fixed with a joining inversion (merging hurdles) Dinv = +2



Canonical inversion distance of circular chromosomes

$$\mathsf{d}_{\mathrm{INV}}(\mathbb{A},\mathbb{B}) = n - |\mathcal{C}| + h + f$$

Quiz 2

- 1 Which of the following statements about the inversion model are true?
 - The inversion distance depends only on the number of cycles in the breakpoint diagram.
 - X Every bad component in the diagram is a hurdle.
 - A split inversion is always optimal.
 - A good component can always be sorted with (safe) split inversions.
 - X A super hurdle can be optimally sorted with a neutral inversion.
 - F A diagram with an even number of bad components can be a fortress.

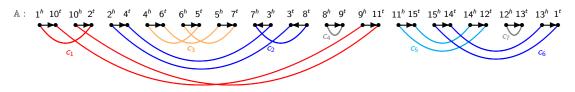
Chained and nested components on the breakpoint diagram

Alternative to component separation: chaining and nesting relationships between components

 $\textbf{Chain:} \begin{cases} \text{sequence of components } K_1, K_2, ..., K_\ell \\ \text{the rightmost adjacency-edge of } K_i \text{ is succeeded by the leftmost adjacency-edge of } K_{i+1}, \text{ for } 1 \leq i \leq \ell \end{cases}$

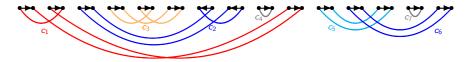
Maximal chain: cannot be extended to the left nor to the right.

A maximal chain H is **nested** in a component K when the leftmost adjacency-edge of H is preceded by an adjacency-edge of K and the rightmost adjacency-edge of H is succeeded by an adjacency-edge of K.



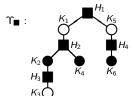
$$\begin{split} & \mathcal{K}_1 = \{c_1\} \qquad \mathcal{K}_2 = \{c_2\} \qquad \qquad \mathcal{K}_3 = \{c_3\} \qquad \qquad \mathcal{K}_4 = \{c_4\} \qquad \qquad \mathcal{K}_5 = \{c_5, c_6\} \qquad \qquad \mathcal{K}_6 = \{c_7\} \\ & \\ & \text{Maximal chains:} \left\{ \begin{aligned} & H_1 = \mathcal{K}_1 \bowtie \mathcal{K}_5 \;, \\ & H_2 = \mathcal{K}_2 \bowtie \mathcal{K}_4 \; \text{(nested in component } \mathcal{K}_1 \text{)} \;, \\ & H_3 = \mathcal{K}_3 \; \text{(nested in component } \mathcal{K}_2 \text{)} \;, \\ & H_4 = \mathcal{K}_6 \; \text{(nested in component } \mathcal{K}_5 \text{)} \end{aligned} \right.$$

Chained component tree \(\gamma_\bigsim \) (rooted)



$$\begin{split} \mathcal{K}_1 &= \{c_1\} \quad \mathcal{K}_2 = \{c_2\} \qquad \mathcal{K}_3 = \{c_3\} \qquad \mathcal{K}_4 = \{c_4\} \qquad \qquad \mathcal{K}_5 = \{c_5, c_6\} \qquad \qquad \mathcal{K}_6 = \{c_7\} \\ \text{Maximal chains:} & \begin{cases} \mathcal{H}_1 &= \mathcal{K}_1 \bowtie \mathcal{K}_5 \ , \\ \mathcal{H}_2 &= \mathcal{K}_2 \bowtie \mathcal{K}_4 \ (\text{nested in component } \mathcal{K}_1) \ , \\ \mathcal{H}_3 &= \mathcal{K}_3 \ (\text{nested in component } \mathcal{K}_2) \ , \\ \mathcal{H}_4 &= \mathcal{K}_6 \ (\text{nested in component } \mathcal{K}_5) \end{cases} \end{split}$$

- 1. One round node per component K_i : $\begin{cases} \text{bad node (o): } K_i \text{ is a bad component;} \\ \text{good node (\bullet): } K_i \text{ is a trivial or a good component.} \end{cases}$
- 2. One square (\blacksquare) node per maximal chain H_i , whose children are the round nodes corresponding to the components of H_i . A square node is either the root or a child of the component in which H_1 is nested.



P: path connecting two distinct round nodes u_1 and u_2 in $\Upsilon_{\blacksquare}(\mathbb{A}, \mathbb{B})$ round nodes in $P\setminus\{u_1, u_2\}$: components that separate u_1 and u_2 in $RG(\mathbb{A}, \mathbb{B})$.

Contraction of Υ_{\blacksquare} into unrooted component tree Υ_{\circ}

Max-flower:

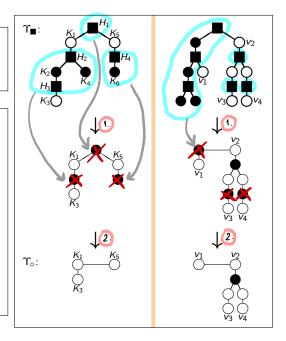
Obtaining $\Upsilon_{\!\scriptscriptstyle O}$ from $\Upsilon_{\!\scriptscriptstyle \blacksquare}$

For each max-flower F of Υ_{\blacksquare} :

1. Replace F by a single good round node g (g is connected to all bad nodes connected to F)

If g has exactly two neighbors b_1 and b_2 : remove g from the tree and connect b_1 to b_2 ;

2. If g is a leaf: simply remove g from the tree $(\Rightarrow$ in the end, all leaves in Υ_0 are bad)

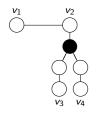


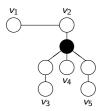
Topology and paths in the component tree Υ_{\circ}

All leaves in Υ_{\circ} are bad nodes (\equiv hurdles)

 \mathcal{L} : # of leaves in Υ_{\circ}

Traversal: path connecting two leaves of Υ_{\circ}





Branching node of $\Upsilon_{\!\scriptscriptstyle O}\colon$ any node whose degree is ≥ 3

A leaf-branch may be a path of length 1 (a leaf directly connected to a branching node of Υ_{\circ})

Cost of covering the component tree Υ_{\circ}

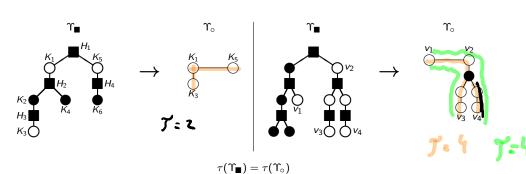
path P in Υ_0 : $\begin{cases} \text{short: contains a single bad node} \\ \text{long: contains at least two bad nodes} \end{cases}$ \Rightarrow if P is a branch it corresponds to a simple hurdle \Rightarrow if P is a branch it corresponds to a super hurdle

cost of path P: $\tau(P)$ $\begin{cases} P \text{ is short : } \tau(P) = 1 \text{ (cut a bad component)} \\ P \text{ is long : } \tau(P) = 2 \text{ (merge two or more bad components)} \end{cases}$

Cover of Υ_{\circ} : set of paths $\widehat{\mathcal{P}}$ such that each bad node of Υ_{\circ} is contained in at least one path $P \in \widehat{\mathcal{P}}$

Cost of cover $\widehat{\mathcal{P}}$: $\tau(\widehat{\mathcal{P}}) = \sum_{P \in \widehat{\mathcal{P}}} \tau(P)$

Cost of an optimal cover of Υ_0 : $\tau(\Upsilon_0) = \min_{\widehat{\mathcal{P}} \text{ is a cover of } \Upsilon_0} \tau(\widehat{\mathcal{P}})$



Covering the component tree Υ_{\circ}

I : h

 \mathcal{L} : # of leaves in Υ_{\circ} ; Branching node of Υ_{\circ} : any node whose degree is ≥ 3

 $\text{\textbf{Leaf-branch} of Υ_\circ:} \begin{cases} \text{if $\mathcal{L} \leq 2$: the complete tree Υ_\circ} \\ \text{if $\mathcal{L} \geq 3$: maximal path $u_1, u_2, ..., u_k$, such that u_1 is a leaf of Υ_\circ and, \\ \text{for $i=2, ..., k$, the degree of internal node u_i in T is two} \end{cases}$

A leaf-branch may be a path of length 1 (a leaf directly connected to a branching node of Υ_{\circ})

Traversal: path connecting two leaves of Υ_{\circ}

Suppose $\mathcal{L} = 2, 4, 6, ...$:

 $\widehat{\mathcal{P}}_{\!\scriptscriptstyle T}(\Upsilon_{\!\scriptscriptstyle \circ}) \text{: smallest set of traversals covering all nodes of } \Upsilon_{\!\scriptscriptstyle \circ} : \, |\widehat{\mathcal{P}}_{\!\scriptscriptstyle T}(\Upsilon_{\!\scriptscriptstyle \circ})| = \frac{\mathcal{L}}{2}$



Input: unrooted tree Υ_{\circ} with $\mathcal{L}=2n$ leaves

Output: set $\widehat{\mathcal{P}}_{\scriptscriptstyle{\mathrm{T}}}$ of *n* traversals covering all nodes of $\Upsilon_{\scriptscriptstyle{0}}$

Based on any planar view of Υ_{\circ} , enumerate the leaves from 1 to 2n in circular order; $\widehat{\mathcal{P}_{r}}=\emptyset$:

for i = 1 to n do

 $\widehat{\mathcal{P}}_{T} = \widehat{\mathcal{P}}_{T} \cup \{\text{traversal connecting leaves } i \text{ and } i + n\};$

Return $\widehat{\mathcal{P}}_{\scriptscriptstyle \! T}$;



Computing $\tau(\Upsilon_{\circ})$

Lower bound for the cost of an optimal cover of Υ_{\circ} : $\tau(\Upsilon_{\circ}) \geq \mathcal{L}$

Each traversal T has cost $\tau(T) = 2$

If
$$\mathcal{L}$$
 is even, $\widehat{\mathcal{P}}_{\scriptscriptstyle T}(\Upsilon_{\scriptscriptstyle \circ})$ is an optimal cover:

$$\Rightarrow \tau(\Upsilon_{\circ}) = \tau\left(\widehat{\mathcal{P}}_{\scriptscriptstyle \mathrm{T}}(\Upsilon_{\circ})\right) = 2\frac{\mathcal{L}}{2} = \mathcal{L}$$

If
$$\mathcal{L}$$
 is odd and Υ_{\circ} has a short leaf-branch s $(\tau(s) = 1)$:

$$\Rightarrow \tau(\Upsilon_{\circ}) = \tau\left(\widehat{\mathcal{P}}_{\scriptscriptstyle{\Gamma}}(\Upsilon_{\circ} \setminus s)\right) + \tau(s) = 2\frac{\mathcal{L}-1}{2} + 1 = \mathcal{L}$$

If
$$\mathcal L$$
 is odd and Υ_\circ has no short leaf-branch ("fortress"); let ℓ be any long leaf-branch of Υ_\circ $(\tau(\ell)=2)$:

$$\Rightarrow \tau(\Upsilon_{\!\scriptscriptstyle \bigcirc}) = \tau\left(\widehat{\mathcal{P}}_{\!\scriptscriptstyle \top}(\Upsilon_{\!\scriptscriptstyle \bigcirc}\setminus\ell)\right) + \tau(\ell) = 2\frac{\mathcal{L}-1}{2} + 2 = \mathcal{L} + 1$$

The cost of any optimal cover of Υ_{\circ} is:

$$\tau(\Upsilon_\circ) = \begin{cases} \mathcal{L} + 1 & \text{if } \mathcal{L} \text{ is odd and all leaf-branches are long ("fortress"),} \\ \mathcal{L} & \text{otherwise.} \end{cases}$$

Canonical inversion distance

$$\mathsf{d}_{ ext{INV}}(\mathbb{A},\mathbb{B}) = n - |\mathcal{C}| + au_*$$

where

$$au_* = au(\Upsilon_{\circ}(\mathbb{A}, \mathbb{B})) = h + f$$

Components are framed conserved intervals

Assuming that $\mathbb{B} = (1 \ 2 \ 3 \ \dots \ 16)$, let us identify its framed conserved intervals with respect to

$$\mathbb{A} = (1 \ \overline{4} \ 2 \ 3 \ 5 \ 7 \ 6 \ 8 \ \overline{16} \ \overline{14} \ \overline{15} \ \overline{13} \ \overline{11} \ \overline{12} \ \overline{10} \ 9)$$

For given $i \ge 1$ and $j \ge 1$ such that $i+j \le n+1$:

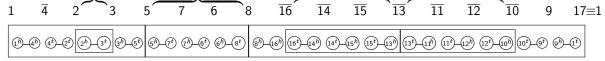
Conserved interval: interval of \mathbb{A} composed of values i, i+1, ..., i+j (assuming $n+1 \equiv 1$)

Framed conserved interval $\begin{cases} \text{direct: first element is } i \text{ and last element is } i+j; \text{ or } \\ \text{reverse: first element is } \overline{i+j} \text{ and last element is } \overline{i} \end{cases}$

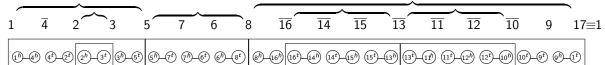
Direct: [1..5]; [2..3]; [5..8]; [8..17] Reverse: $[\overline{16}..\overline{13}]$; $[\overline{13}..\overline{10}]$, $[\overline{16}..\overline{10}]$

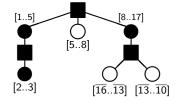
Component: framed conserved interval that is not a union of framed conserved intervals

Direct: [1..5]; [2..3]; [5..8]; [8..17] Reverse: $[\overline{16}..\overline{13}]$; $[\overline{13}..\overline{10}]$



Components are framed conserved intervals





Complexity of inversion distance and sorting

The inversion distance can be computed in linear time, by efficiently identifying chains of framed conserved intervals (Bergeron et al., 2002: Common intervals and sorting by reversals: a marriage of necessity)

An optimal inversion sorting scenario can be computed in subquadratic time. (Tannier and Sagot, 2004: Sorting by reversals in subquadratic time)

Canonical inversion distance of linear chromosomes

Given canonical linear chromosomes $\mathbb A$ and $\mathbb B \colon$

Add one new family (e.g. 0) and circularize chromosome $\mathbb B$ into $\mathbb B'=(0\ \mathbb B)$

$$\mathsf{d}_{\mathrm{INV}}(\mathbb{A},\mathbb{B}) = \mathsf{min} egin{cases} \mathsf{d}_{\mathrm{INV}}((0\;\mathbb{A}),\mathbb{B}') \ \mathsf{d}_{\mathrm{INV}}((ar{0}\;\mathbb{A}),\mathbb{B}') \end{cases}$$

Example:

$$\begin{split} \mathbb{A} &= [\bar{\mathbf{5}} \ \mathbf{1} \ \mathbf{2} \ \bar{\mathbf{3}} \ \mathbf{4}] \quad \text{and} \quad \mathbb{B} = [\mathbf{1} \ \mathbf{2} \ \mathbf{3} \ \mathbf{4} \ \mathbf{5}] \\ \mathbb{B}' &= (\mathbf{0} \ \mathbf{1} \ \mathbf{2} \ \mathbf{3} \ \mathbf{4} \ \mathbf{5}) \\ \\ d_{\mathrm{INV}}((\mathbf{0} \ \bar{\mathbf{5}} \ \mathbf{1} \ \mathbf{2} \ \bar{\mathbf{3}} \ \mathbf{4}), \mathbb{B}') &= \mathbf{3} \\ \\ d_{\mathrm{INV}}((\bar{\mathbf{0}} \ \bar{\mathbf{5}} \ \mathbf{1} \ \mathbf{2} \ \bar{\mathbf{3}} \ \mathbf{4}), \mathbb{B}') &= \mathbf{2} \\ \\ d_{\mathrm{INV}}(\mathbb{A}, \mathbb{B}) &= \mathbf{2} \end{split}$$

Quiz 3

- 1 What is the bottleneck of the running time of inversion sorting?
 - A Finding inversions that fix bad components.
 - B Finding split inversions.
 - C Finding safe split inversions.
 - D Finding inversions that merge bad components.

References

Transforming cabbage into turnip: polynomial algorithm for sorting signed permutations by reversals

Sridhar Hannenhalli and Pavel A. Pevzner

Journal of the ACM, vol 46, issue 1, pages 1-27 (1999)

Reversal Distance without Hurdles and Fortresses

(Anne Bergeron, Julia Mixtacki and Jens Stoye)

LNCS, volume 3109, pages 388-399 (2004)

The Inversion Distance Problem

(Anne Bergeron, Julia Mixtacki and Jens Stoye)

In: Mathematics of Evolution and Phylogeny. Gascuel O (Ed); (2005)