

A reminder on NP-completeness

Kassian Kobert & Roland Wittler

Seminar “Karp’s 21 problems”
(SoSe 2024)

Outline

NP-completeness

Proving NP-completeness

Example: Clique (from Satisfiability)

Problems

Optimization problem: Find a solution optimizing an objective function, e.g., minimizing a cost or maximizing a score function.

Decision problem: Yes/No question: Is there a solution?

Complexity: Optimization at least as hard as decision.
(Otherwise we could optimize to decide.)

⇒ To show hardness, we usually use the decision problem.

Complexity classes

- P** contains all problems for which a **deterministic** algorithm exists that can **solve** a problem instance in polynomial time.
- NP** contains all problems for which a **non-determ.** algorithm exists that can **solve** a problem instance in polynomial time.
- NP** contains all problems for which a **deterministic** algorithm exists that can **verify** whether a given certificate is a correct solution in polynomial time.

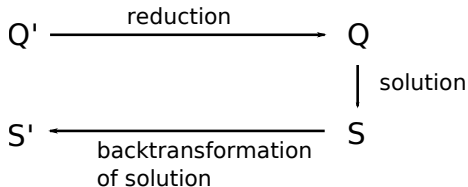
Or short:

- P** = efficiently solvable,
- NP** = efficiently verifiable.

Obviously $P \subseteq NP$, but: $P \stackrel{?}{=} NP$

Reducibility

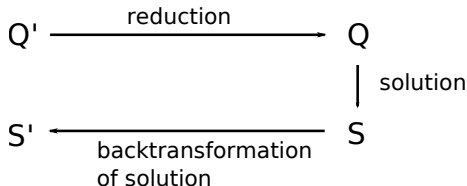
A problem Q' is **reducible** to a problem Q if every instance of Q' can be formulated as an instance of Q so that the solution S of problem Q corresponds to the solution S' of problem Q' .



[Seq-Anal. lecture notes]

Reducibility

A problem Q' is **reducible** to a problem Q if every instance of Q' can be formulated as an instance of Q so that the solution S of problem Q corresponds to the solution S' of problem Q' .



[Seq-Anal. lecture notes]

Problem Q' is **polynomial-time reducible** to Q (shortly: $Q' \propto Q$) if the reduction takes only polynomial time.

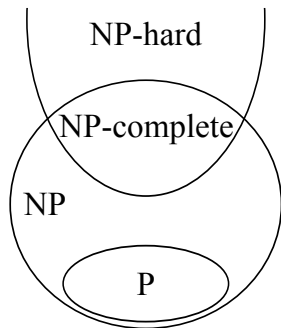
NP-hardness, NP-completeness

A problem Q is **NP-hard** if $Q' \leq Q$ for every $Q' \in \text{NP}$.
(... at least as hard as any problem in NP.)

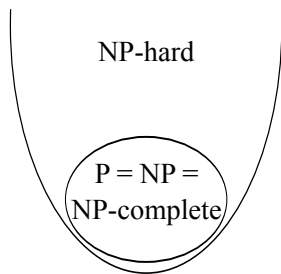
A problem Q is **NP-complete** if it is NP-hard and $Q \in \text{NP}$.
(... not harder than NP.)

- ▶ If some NP-complete problem is solvable in polynomial time, then $P = \text{NP}$.
- ▶ If some problem of NP is not solvable in polynomial time, then no NP-complete problem is solvable in polynomial time.

NP-hardness, NP-completeness



$P \neq NP$



$P = NP$

[Seq-Anal. lecture notes]

NP-completeness

“Hard” does not mean “impossible”

- ▶ small instances
- ▶ run time heuristics
- ▶ exactness heuristics
- ▶ approximation
- ▶ restriction
- ▶ parametrization (fixed parameter tractability)

Outline

NP-completeness

Proving NP-completeness

Example: Clique (from Satisfiability)

Proving NP-completeness

To prove NP-completeness of a problem Q , you usually do:

(A) Show that $Q \in \text{NP}$, i.e. poly-time verifiable.

(B) Show that Q is NP-hard:

(1) Choose a known NP-complete problem Q' .

(2) Find a reduction f from Q' to Q .

(3) Show that f is poly-time.

(3) Show that for any instance I :

There is a solution for I on $Q' \Leftrightarrow$ there is a solution for $f(I)$ on Q .

($\Rightarrow Q$ at least as hard as Q')

\Rightarrow at least as hard as any problem in NP.)

Karp provides B.1 and B.2.

Our exercise: A, B.3 and B.4.

Outline

NP-completeness

Proving NP-completeness

Example: Clique (from Satisfiability)

Example: Clique (from Satisfiability)

CLIQUE

INPUT: graph G , positive integer k

PROPERTY: G has a set of k mutually adjacent nodes.

Example: Clique (from Satisfiability)

CLIQUE variants

Maximum clique problem: output a maximum clique.

Weighted maximum clique problem: for a weighted graph, output a clique with maximum total weight.

Maximal clique listing problem: list all maximal cliques.

k -clique problem: output a clique with k vertices.

Clique decision problem: Boolean version of k -clique problem (“Karp’s variant”).

Example: Clique (from Satisfiability)

History of CLIQUE

- ▶ Both the **clique listing problem** and the term **clique** itself from the social sciences: find groups of people who all know each other.
- ▶ NP-hardness of decision problem: implicitly by Cook (1970) and also known to Reiter. Explicit proof by Karp (1972)
- ▶ hard to approximate (Garey & Johnson, 1978)
- ▶ no fixed-parameter tractable algorithm is possible (Chen et. al, 2006)
- ▶ many applications: Chemistry (molecular docking), bioinformatics (phylogenetics, protein structure prediction), sociology (social networks), mathematics, ...

Example: Clique (from Satisfiability)

SATISFIABILITY

INPUT: Clauses C_1, C_2, \dots, C_p

PROPERTY:

The conjunction of the given clauses is satisfiable;

i.e., there is a set $S \subseteq \{x_1, x_2, \dots, x_n; \bar{x}_1, \bar{x}_2, \dots, \bar{x}_n\}$ such that

- a) S does not contain a complementary pair of literals
- and b) $S \cap C_k \neq \emptyset, k=1, 2, \dots, p.$

Example: Clique (from Satisfiability)

SATISFIABILITY α CLIQUE

$N = \{ \langle \sigma, i \rangle \mid \sigma \text{ is a literal and occurs in } C_i \}$

$A = \{ \{ \langle \sigma, i \rangle, \langle \delta, j \rangle \} \mid i \neq j \text{ and } \sigma \neq \bar{\delta} \}$

$k = p$, the number of clauses.